
 <b>FLORIDA ATLANTIC UNIVERSITY</b>	<b>NEW/CHANGE PROGRAM REQUEST</b> <b>Undergraduate Programs</b>		UUPC Approval <u>10/10/22</u> UFS Approval _____ Banner _____ Catalog _____
	Department <u>Finance</u> College <u>Business</u>		
<b>Program Name</b> Investment Management Minor/Certificate	<input checked="" type="checkbox"/> <b>New Program*</b> <input type="checkbox"/> <b>Change Program*</b>	<b>Effective Date</b> (TERM & YEAR) Spring 2023	
<p><b>Please explain the requested change(s) and offer rationale below or on an attachment.</b></p> <p>External reviewers suggested that we increase the flexibility of the finance program. Following Their suggestion, we propose to add an Investment Management minor/certificate.</p> <p>See attachment.</p>			
<p><small>*All new programs and changes to existing programs must be accompanied by a catalog entry showing the new or proposed changes.</small></p>			
<b>Faculty Contact/Email/Phone</b> Anna Pomeranets/apomeranets@fau.edu		<b>Consult and list departments that may be affected by the change(s) and attach documentation</b>	
<b>Approved by</b> Department Chair <u></u> College Curriculum Chair <u>Ethlyn Williams</u> College Dean <u>Paul Han</u> UUPC Chair <u>Ethlyn Williams</u> Undergraduate Studies Dean <u>Dan Meeroff</u> UFS President _____ Provost _____		<b>Date</b> <u>Sept 8, 2022</u> <u>9/21/22</u> <u>September 26, 2022</u> <u>10/10/22</u> <u>10/10/22</u> _____ _____	

Email this form and attachments to [mjenning@fau.edu](mailto:mjenning@fau.edu) seven business days before the UUPC meeting.

## NEW CATALOG ENTRY: INVESTMENT MANAGEMENT MINOR/CERTIFICATE

### EXPLANATION

External reviewers suggested that we increase the flexibility of the finance program. Following Their suggestion, we propose to add an Investment Management minor and certificate.

The Investment Management minor and certificate programs are offered by the Department of Finance. The Investments Management minor and certificate immerses students in the tools, theories and practice of sound investment strategy, positioning them for a leadership role in managing assets for a financial institution or other large investors. These programs enhance the qualifications of students pursuing careers in Finance related to investment analysis, investment research and portfolio management.

The core coursework dives into the essentials of financial management, financial institutions, investment analysis, derivative securities, and a student managed investment fund (SMIF) course. The SMIF course is designed to give students hands-on experience in performing investment research, investing capital, and managing a portfolio prior to entering the workforce. Students are expected to analyze the existing portfolio composition, research investment opportunities, generate investment ideas, and make recommendations based on their analysis. Some of the research is performed individually while most research is done as a team. It is the student's responsibility to initiate research ideas and to recommend specific investment actions to fellow students and a governing board.

### PROPOSED CATALOG LISTING

The Investment Management minor is available to all undergraduate degree-seeking students and may be earned upon successful completion of the coursework below and the simultaneous completion of a bachelor's degree at FAU. For non-Business majors, waiver of prerequisites will be made on a case-by-case basis. For Business majors, a maximum of 3 credits used for the minor may count toward other Business major requirements. A minimum of two courses (6 credits) must be exclusive to the minor. For exceptions, a petition should be submitted to the Finance Department chair.

The Investment Management certificate is available to degree-seeking ~~students, and~~ non-degree students ~~and working professionals~~. Students pursuing the certificate may apply for it in the College of Business Office of Student Academic Services upon successful completion of the coursework below.

Students cannot obtain both a certificate and a minor. Both programs require 12 credits each, with minimum grades of "C" required in all courses for the minor and certificate. For the minor,

at least 9 of the 12 credits must be earned from FAU. The certificate requires the following four FAU courses.

<b>Required Courses:</b>		
Principles of Financial Management	FIN 3403	3
Financial Derivatives	FIN 4533	3
Investment Analysis	FIN 4504	3
Student Managed Investment Fund (SMIF)	FIN 4560	3