

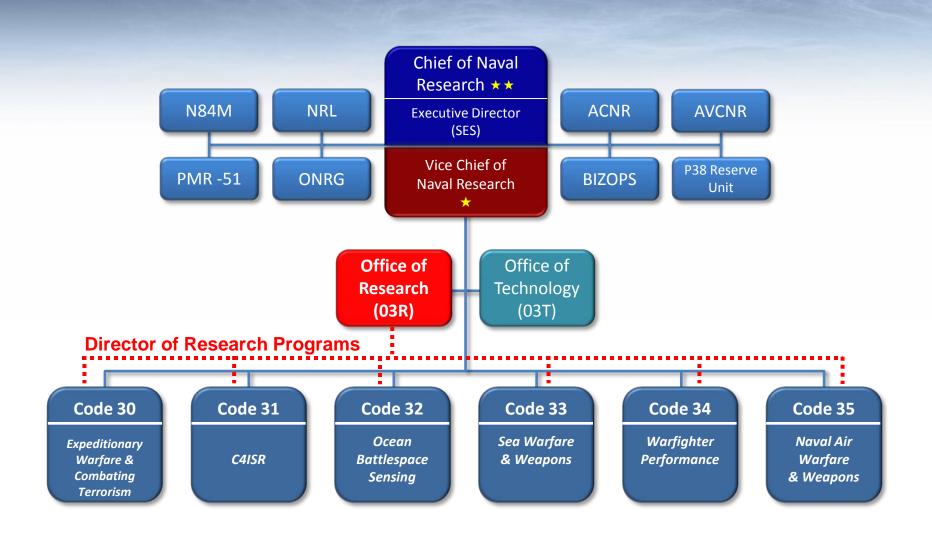
ONR Research Directorate (03R)

Mr. Craig A. Hughes
Deputy Director of Research
21 September 2016

Distribution Statement A: Approved for public release

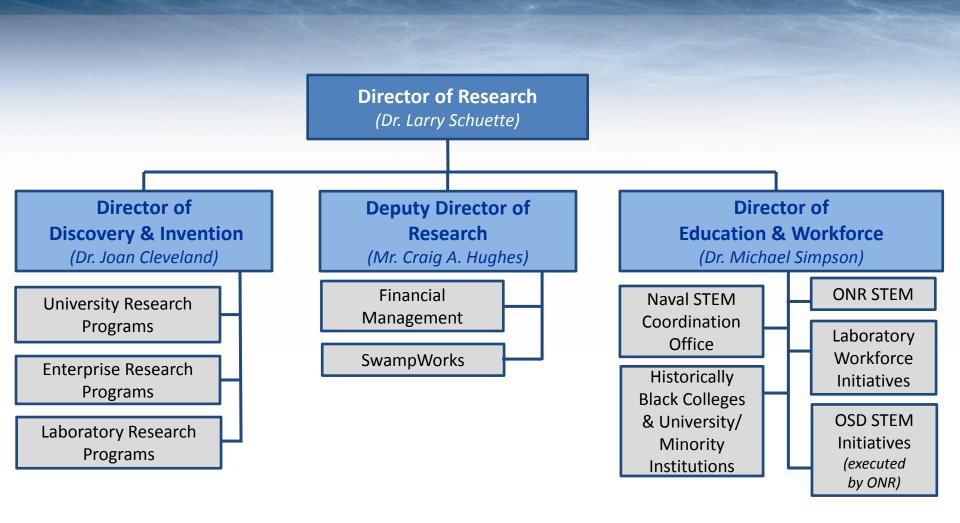


03R Portfolio Oversight





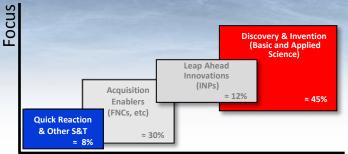
Management Structure





03R Opportunity Space

The Director of Research portfolio makes broad investments that will increase fundamental knowledge, foster opportunities for breakthroughs and provide technology options for future naval capabilities and systems



Time

- Includes Basic Research and early Applied Research as well as Quick Reaction and Other Science and Technology (S&T) programs
- Committed to maintaining the health of the defense scientist and engineering workforce
- 03R Research portfolio maps to the Naval S&T Strategic Plan and National Naval Responsibilities



Dr. Larry Schuette (SES) serves as Director of Research (DOR). Previously, he served as ONR's Director of Innovation.

Creativity Thrives in Discovery and Invention



Lines of Business & Fiscal Year Calendar

- 03R typically conducts business using:
 - Long Range Broad Agency Announcements (BAA) for the Discovery and Invention (D&I) portfolio
 - Funding Opportunity Announcements (FOA) for the ONR STEM portfolio
 - Rolling white paper schedule for the SwampWorks portfolio
- For specialized projects or requests, 03R will also utilize:
 - Requests for Information (RFI) and Requests for Proposals (RFP)
 - Special Notices

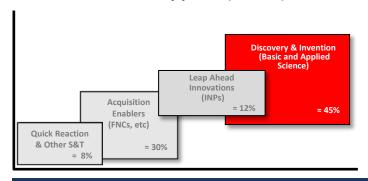


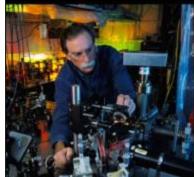


Discovery & Invention

Discovery & Invention S&T is the essential foundation required for advanced technology

- Focused on 5-20 years out
- Basic Research and early Applied Research
- All research maps to the Naval S&T Strategic Plan; the projects are the building blocks for Future Naval Capabilities (FNCs) and Innovative Naval Prototypes (INPs)





Dr. David Wineland won the 2012 Nobel Prize in Physics for his work in quantum computing. He is the 60th ONR funded Nobel Prize winner.

Director of Discovery & Invention

(Dr. Joan Cleveland)

University Research Programs

- MURI
- DURIP
- PFCASE
- NSSEFF

Enterprise Research Programs

- BRC
- ARC
- YIP
- Peer Review
- ROPO

Laboratory Research Programs

- ILIR
- IAR

Basic Research is the Foundation of the Navy's Newest Technologies



Discovery & Invention University Research Programs

University Research Programs fund promising new research, stimulate innovation, and attract outstanding researchers to naval-relevant research projects

- The Multidisciplinary University Research Initiative (MURI)
 involves teams of researchers investigating high priority topics and
 opportunities that intersect more than one traditional technical
 discipline
- The Defense University Research Instrumentation Program (DURIP) supports university research infrastructure essential to high-quality naval-relevant research
- The Presidential Early Career Award for Scientists & Engineers
 (PECASE) recognizes and honors extraordinary achievements of
 young professionals at the outset of their independent research
 careers in S&T
- The National Security Science & Engineering Faculty Fellowship (NSSEFF) provides extensive, long-term financial support to distinguished university faculty and staff scientists and engineers to conduct unclassified, basic research on topics of interest to DoD

Director of Discovery & Invention (Dr. Joan Cleveland) **University Research Programs** MURI **DURIP PFCASE** NSSEFF **Enterprise Research Programs** Laboratory Research **Programs**



Discovery & Invention Enterprise Research Programs

Enterprise Research Programs develop scientific and fundamental knowledge, provide the basis for future Naval systems, and maintain the health of the defense scientist and engineer workforce

- The Basic Research Challenge (BRC) competitively selects and funds promising research programs in new areas not addressed by the current basic research program
- The Applied Research Challenge (ARC) stimulates new applied research projects in areas not currently addressed by the departmental core applied research programs and explores feasibility of basic research with high risk and significant potential naval payoffs
- The **Young Investigator Program (YIP)** supports academic science and engineering faculty who been on tenure track within the last five years and show exceptional promise for doing creative research
- The Peer Review provides an independent assessment of the scientific merit of the ONR D&I research portfolios being reviewed to ensure the merit, performance, and relevancy of research activities
- Research Opportunities for Program Officers (ROPO) provide ONR
 Program Officers with opportunities and support to conduct research of interest

Director of Discovery & Invention

(Dr. Joan Cleveland)

University Research Programs

Enterprise Research Programs

- BRC
- ARC
- YIP
- Peer Review
- ROPO

Laboratory Research Programs



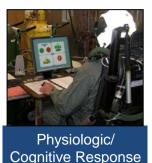
2014 YIP recipient Dr. Laura L. Colgin, University of Texas, Austin $_{\rm g}$

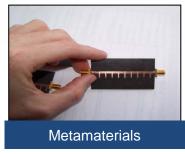


Discovery & Invention Laboratory Research Programs

Laboratory Research Programs provide naval laboratories the ability to invest in basic research of technical interest to meet laboratory mission elements

 In-house Laboratory Independent Research (ILIR) enables laboratories to sponsor focused, high-risk research with potential high payoffs to the Navy and Marine Corps on a discretionary basis









Director of
Discovery & Invention
(Dr. Joan Cleveland)

University Research
Programs

Enterprise Research
Programs

Laboratory Research Programs

- ILIR
- IAR

• Independent Applied Research (IAR) focuses on performing innovative, promising applied research consistent with the mission of the claimant organizations and the current DON S&T strategy



From Basic Science to the Fleet!

Basic Research

- Cavitation Erosion Resistant Coating and Matrix Materials
- Hydro-Elasticity Effects of Composite Materials
- Large-Eddy Simulation of Crashback loads

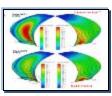
FNC

Pitch-adapting composite submarine propeller for enhanced performance with reduced weight, less maintenance and substantial acquisition and life cycle cost savings

Acquisition POR

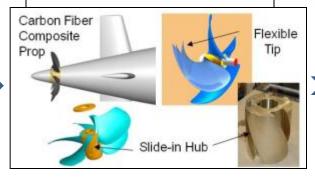
- SEA 073R Advanced Submarine Systems **Development**
- PEO SUB Virginia and Follow-on class submarines













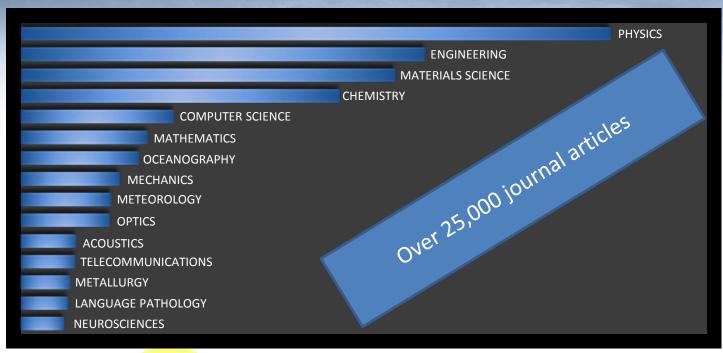
Academia

ONR's Unique Mission

Industry



Top Research Areas: ONR Publications 2009-2014







Deputy Director of Research

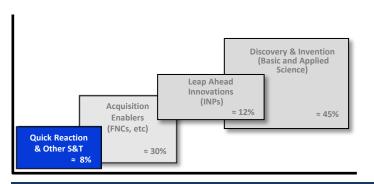
Off-the-shelf technology projects with a 12 to 24 month time horizon

Financial Management:

Manage portfolio of 6.1 through 6.3 funding in excess of \$790M

• SwampWorks:

Included newly invented/discovered technologies;
 Addresses emergent and enduring operational problems; Completed in an accelerated timeframe



Deputy Director of Research

(Mr. Craig A. Hughes)

Financial Management

SwampWorks



The SwampWorks Advanced Control Effectors

intended to replace a complex hydraulic & mechanical hardware system with a simplified highly reliable system to dramatically reduce maintenance and increase ship maneuverability.

Rapidly Responding to Fleet Issues



Deputy Director of Research SwampWorks

The **SwampWorks** portfolio funds S&T-based technical efforts that:

- Accelerate technical development
- Respond rapidly to Fleet issues and satisfy Warfighter need
- Validate (or invalidate) proof of concept
- Explore usefulness of an existing technology in a new application
- Evaluate technology alternatives
- Reduce risk significantly

Typical Navy or \$1M-\$2M Government lead

Must be S&T and be significant "leap ahead" in nature

Project duration

typical 1 to

2 years

SwampWorks (SW)

Deputy Director of Research

(Mr. Craig A. Hughes)

Financial Management

SwampWorks

Acceptable that some may not complete as planned

High risk, high

impact

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Education & Workforce

The Education & Workforce portfolio raises awareness of naval career opportunities, attracts and nurtures the future talent pool, and fosters the continued development of the current naval science, technology, engineering, and mathematics (STEM) workforce

- Develop the workforce and capabilities needed today and in the future
- Emphasize Total Force approach to workforce development
- Align DON STEM portfolio with Federal and Office of the Secretary of Defense (OSD) directives in order to foster a highly competent and diverse DON STEM talent pipeline

Director of Education & Workforce

(Dr. Michael Simpson)

Laboratory Workforce Initiatives

- SEAP
- NREIP
- Summer Faculty/ Sabbatical Leave

Naval STEM
Coordination Office

HBCU/MI Programs

ONR STEM Initiatives

- ONR STEM
- NSAP

OSD STEM Initiatives (executed by ONR)

- NDSEG
- SMART
- STARBASE

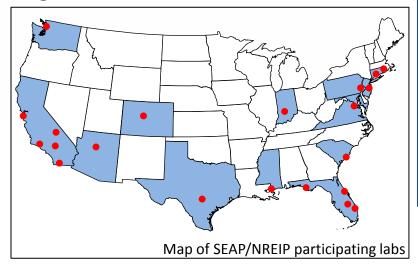
Promote and Develop STEM Workforce & Capabilities



Education & Workforce Laboratory Workforce Initiatives

Laboratory Workforce Initiatives provide students and faculty members opportunities to participate in research programs at DON labs

- The Scientist and Engineering Apprenticeship Program (SEAP)
 provides opportunities for high school students to participate in
 research at a DON lab during the summer
- The Naval Research
 Enterprise Internship
 Program (NREIP)
 provides opportunities
 for undergraduate and
 graduate students to
 participate in research
 at DONs lab during the
 summer



Director of
Education & Workforce
(Dr. Michael Simpson)

Laboratory Workforce
Initiatives

SEAP
NREIP
Summer Faculty/
Sabbatical Leave

Naval STEM
Coordination Office

HBCU/MI Programs

OSD STEM Initiatives
(executed by ONR)

ONR STFM Initiatives

The **Summer Faculty/Sabbatical Leave Program** provides science and engineering faculty members the opportunity to participate in research at DON labs



Education & Workforce Naval STEM Coordination Office

The **Naval STEM Coordination Office** supports the CNR in his role as the Naval STEM Executive

- Acts as the central coordination and information resource for DON STEM efforts
- Executes Department of Defense (DoD) STEM funding
- Reports on DON STEM
- Drafts the Naval STEM Strategy
- Coordinates SECNAV STEM Instruction establishing an organizational structure for coordinating and aligning DON STEM education and outreach programs

Naval STEM Executive Board (NSEB)

- Provide recommendations to SECNAV, CNO, and CMC regarding STEM goals and priorities
- Approve Naval STEM Strategic Plan
- Coordinating body to identify Total Force STEM skill gaps

Naval STEM Executive (Chief of Naval Research)

- Act as Executive Secretary of NSEB
- Provide direction over STEM Coordination Office

Naval STEM Stakeholder Working Group (NSWG)

- Support members and activities of NSEB
- Develop policies, implementation strategies, metrics/evaluation tools, and reporting processes
- Report annually on STEM activities, budgets, etc.

Coordinate

Naval STEM Coordination Office

- Serve as the central coordination and information resource for DON STEM efforts
- Draft/refine Naval STEM Strategic plan and Annual DON STEM report in coordination with stakeholders

Director of Education & Workforce

(Dr. Michael Simpson)

Laboratory Workforce Initiatives

Naval STEM
Coordination Office

HBCU/MI Programs

ONR STEM Initiatives

OSD STEM Initiatives (executed by ONR)



Education & Workforce HBCU/MI Programs

The Historically Black Colleges and Universities and Minority Institutions programs increase the quantity and quality of minority scientists and engineers

- Enhance the research and educational capabilities of HBCU/MIs in areas of importance to national defense
- Encourage the participation of HBCU/MIs in the research, development, testing, and evaluation programs and activities of DoD
- Increase the number of graduates from such institutions engaged in disciplines important to the national security functions of DoD
- Encourage research and educational collaborations between such institutions and other institutions of higher education, government defense organizations, and the defense industry

Director of Education & Workforce

(Dr. Michael Simpson)

Laboratory Workforce Initiatives

Naval STEM
Coordination Office

HBCU/MI Programs

ONR STEM Initiatives

OSD STEM Initiatives
(executed by ONR)





Education & Workforce ONR STEM Initiatives

ONR STEM Initiatives encourage, promote and coordinate naval S&T

- ONR STEM grants develop innovative solutions that directly support the development and maintenance of a robust DON STEM workforce (budgets are starting to include proposal-based ONR support to other naval activities for quality STEM grants)
- The Naval High School Science
 Awards Program (NSAP)
 recognizes accomplishments of
 students at science and
 engineering fairs in producing and
 presenting quality science and
 engineering projects



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Laboratory Workforce Initiatives

Naval STEM
Coordination Office

HBCU/MI Programs

ONR STEM Initiatives

- ONR STEM
- NSAP

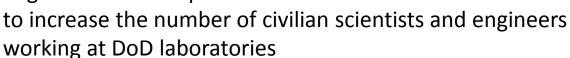
OSD STEM Initiatives (executed by ONR)



Education & Workforce OSD STEM Initiatives

There are a number of **OSD STEM Initiatives** that are **executed by ONR**

- The National Defense Science and Engineering Graduate (NDSEG) Fellowship program is a joint program of the Army, Navy and Air Force designed to increase the number of U.S. citizens trained in disciplines important to defense goals
- The Science, Mathematics and Research for Transformation (SMART) Scholarship for Service Program supports undergraduate and graduate students pursuing degrees STEM disciplines and aims



 The STARBASE program motivates historically underrepresented elementary students to explore STEM as they continue their education (anticipating renewed funding in FY15)

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Laboratory Workforce Initiatives

Naval STEM
Coordination Office

HBCU/MI Programs

ONR STEM Initiatives

OSD STEM Initiatives (executed by ONR)

- NDSEG
- SMART
- STARBASE

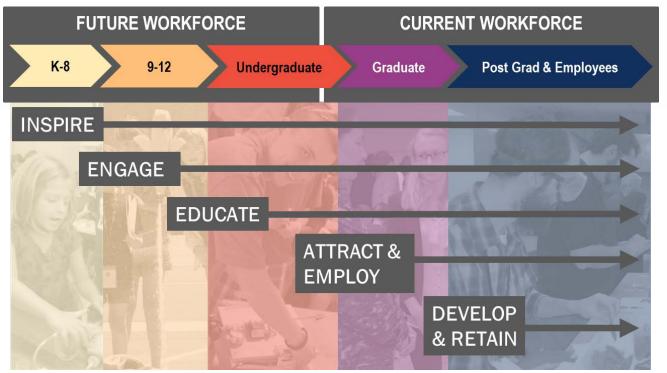




Naval STEM Strategy



- Mission: Foster and cultivate a diverse, world-class STEM workforce in order to maintain the U.S. Navy and Marine Corps' technological superiority
- Strategy: To inspire, engage, and educate the next generation of scientists and engineers and to attract, employ, retain and develop our diverse technical workforce through collaboration across the DON, the Federal government and broad STEM community





Strategic Priorities: Education & Workforce Initiatives



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EDUCATION INITIATIVES

ndergrad

Graduate

WORKFORCE INITIATIVES

Employees

INSPIRE

Inspire the future and current generation of scientists and engineers to pursue naval-relevant STEM opportunities.

This includes: Festivals, demos, expositions

ENGAGE

Engage students and professionals to enhance their capacity and confidence in areas of naval-relevance.

This includes: Extracurricular activities, mentorship opportunities

EDUCATE

Educate students and current professionals to be well prepared for and successful in STEM careers that support the Navy and Marine Corps.

This includes: Classroom activities & teacher training

ATTRACT & EMPLOY

Attract and employ a highly competent STEM workforce and network to sustain the Navy and Marine Corps' technological superiority.

This includes: Internships, fellowships, and scholarships for service

DEVELOP & RETAIN

Develop and retain a STEM-proficient workforce and network to drive naval S&T innovation.

This includes: Professional trainings, sabbaticals, and faculty programs

STEM Showcase (NAVSEA)

Programming Workshop (NAVAIR)

SET Sail Training Workshop (USNA)

Naval Research Enterprise Internship Program (NREIP) (Multiple Stakeholders)

Summer Faculty Program (Multiple Stakeholders)

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