

## Florida Atlantic University – Division of Research Export Control – International Shipping Review Request

Florida Atlantic University (FAU) equipment and materials may not be exported out of the U.S. until it is determined whether an export license is required. The information provided below will be used to make an export licensing determination. All international shipping requests should be submitted to FAU’s Export Control Officer as far in advance as possible. The process of applying, reviewing, and being provided with an export control license from a U.S. agency can take several months. Until the FAU Principal Investigator receives approval from the Export Control Officer, no item, software, technology, or controlled information may be exported to a foreign destination. U.S. export control regulations require that records be maintained for a period of five (5) years from the date of activity. Florida Atlantic University Principal Investigators are required to retain all records related to their export control activities.

### FAU Principal Investigator’s Information

Principal Investigator (PI)	
PI’s College and Department	
Sponsor of related research	
Award number	
TAG or account number	

### Non-FAU Recipient’s Information

Name of Individual	
Name of Institution	
Address	
Country	
Account number – if recipient is covering the cost of shipping	

### Contents of Shipment

Number of shipments	
Number of items per shipment	
Individual value of each item	
Total value of shipments	
<a href="#">Harmonized Tariff Schedule – HS Code(s)</a>	
<a href="#">EAR’s Commerce Control List ECCN(s)</a>	
<a href="#">ITAR’s U.S. Munitions List Number(s)</a>	

### Method of Shipment

Type of shipment	<input type="checkbox"/> - By land <input type="checkbox"/> - By air <input type="checkbox"/> - By sea <input type="checkbox"/> - Hand-carry
Shipping company	<input type="checkbox"/> - FedEx <input type="checkbox"/> - UPS <input type="checkbox"/> - Other <input type="checkbox"/> - N/A
Special handling	<input type="checkbox"/> - Weekend delivery <input type="checkbox"/> - Hold for pick-up <input type="checkbox"/> - N/A
Hazardous materials	<input type="checkbox"/> - Yes (if “yes”, provide MSDS) <input type="checkbox"/> - No
- Packaging group	<input type="checkbox"/> - Group 1 <input type="checkbox"/> - Group 2 <input type="checkbox"/> - Group 3
- UN number	
- Proper shipping name	
- Dry Ice (in lbs.)	

## General Questions Regarding the Shipment

- 1) What is the purpose of this shipment and what is the intended end-use of the shipment's contents?

- 2) Please provide a description of the item(s)/materials(s) in the shipment including any common identification (i.e., name, make, model, etc.)

- 3) Do the contents of the shipment relate to space and/or satellite technology? If yes, please provide a description of the space and/or satellite technology.

- Yes

- No

- 4) Does the end-user intend to re-export the contents of the shipment? If yes, to who, to what country, and what is the intended end-use?

Y -  Name

Country

End-use

N -

- 5) Will an FAU employee train foreign national end-users how to use, manufacture, or repair the item(s)/material(s)?

Y -  Name

Country

Purpose

N -

- 6) Are the contents of the shipment available in the public domain without restriction? (i.e., can it be purchased commercially? Is it used freely without distribution restrictions?)

- Yes

- No

- 7) Does the shipment contain encryption software exceeding 64-bits?

- Yes

- No

8) Do you have any reason to suspect or believe that the end-user will use the materials for the design, development, production, stockpiling of military applications or defense related systems, including, but not limited to, nuclear explosive devices, chemical or biological weapons, or missiles?

- Yes

- No

### **Principal Investigator's Certification**

I hereby certify that, to the best of my knowledge, the information provided above is true, accurate, and complete. I agree to inform Florida Atlantic University's Export Control Officer of any changes to the information provided above as changes may affect the export control licensing requirements. I understand and accept that I may be held personally liable if I unlawfully export controlled materials to foreign nationals without first obtaining the required written approval.

**Florida Atlantic University  
Principal Investigator**

**Florida Atlantic University  
Export Control Officer**

By: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_