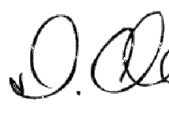




Office of the Provost
Academic Affairs
777 Glades Road, AD10-309
Boca Raton, FL 33431
tel: 561.297.3068
fax: 561.297.3942
www.fau.edu

MEMORANDUM

DATE: April 1, 2011
TO: Deans, Assistant and Associate Provosts
FROM: Diane Alperin, Interim University Provost and 
Chief Academic Officer
SUBJECT: Appointment and Evaluation of Academic Administrators

Purpose:

To establish the process for the appointment and evaluation of academic administrators (Deans, Assistant and Associate Provosts) who report to the Provost.

Appointment:

When a decision is made to appoint an academic administrator as defined above, the Provost or his/her designee shall announce such action to the appropriate academic campus community in person or via e-mail or hard copy. The Provost or designee will solicit nominations from appropriate groups to serve on the Search Committee. The Provost will appoint the members of the Search Committee and the Chairperson of the Search Committee.

The Provost will charge the Search Committee and review applicable procedures, as promulgated by Human Resources, Equal Opportunity Programs, and the Office of the Provost. Upon completion of the search and interview process, the Search Committee will advise the Provost of its findings and recommendations. The Provost may accept or reject the recommendations and has sole authority to make the hiring decision and enter into negotiations with the candidate.

The procedure for the appointment of an academic administrator who is expected to be “acting” or “interim” is covered by Provost’s Memorandum 2003-03 (Policy on Temporary Academic Administrator Appointments).

Annual Evaluation:

Annual performance appraisal of academic administrators is conducted personally by the Provost. Academic administrators submit a self-evaluation to the Provost which addresses goals/objectives agreed to the previous year. The report addresses not only progress toward those goals/objectives, but also ad hoc activities brought about by new opportunities/initiatives or other unanticipated circumstances. The academic administrator also proposes revised goals/objectives for the coming year.

After a review of the self-evaluation, the Provost may conduct a discussion with each academic administrator to address not only accomplishments, but also factors which may have limited progress toward some goals/objectives for the coming year (including required modifications). The Provost then provides the academic administrator with a written assessment of activities for the previous year as well as goals/objectives for the coming year. The annual academic evaluations are limited-access records.

Comprehensive Evaluation of College Deans and Dean of University Libraries:

In 2005, the Provost's Office, in consultation with the Assessment Committee of the University Faculty Senate, developed a process for a comprehensive evaluation of College Deans. In 2007, a similar process was developed for the Director of University Libraries, now the Dean of University Libraries. These processes, also known as the "360 evaluation", require input from faculty, staff, appropriate peers and external constituents, in addition to the immediate supervisor. Following completion of the process, suggestions and recommendations relating to the administrator being reviewed are shared with those providing input. The comprehensive evaluations are conducted on a three-year cycle. Copies of the process and timetable are attached. The academic 360 evaluations are limited-access records.

c: M.J. Saunders, President

Contact: Office of the Associate Provost, Academic Personnel, (561) 297-3068

Evaluation of College Deans

Process and Semester Timetable

Week 1 of the term:

The Provost meets with the Dean to review the process, the on-line surveys to be used, and to discuss the College and University officers and community members who will be consulted as part of the evaluation.

Weeks 2-4 of the term:

The Provost meets with College, including the Associate Deans, Chair/Directors, tenured and tenure-earning faculty with a majority assignment inside the College, faculty on multiple year appointments, full-time instructors and lecturers, and those appointed at any rank with the College as Scholar/Scientist/Engineer/Research Professor. The Provost reviews the evaluation process and clarifies the Dean's duties and responsibilities. The Provost seeks advice about any College-specific issues that are relevant to the evaluation of the Dean.

Weeks 3-4 of the term:

The Dean presents a self-evaluation to the Provost, in which he or she discusses achievements, challenges, and proposals for future College development. The Provost may suggest a standard template to be used for the self-evaluation. The Provost transmits this self-evaluation to all those who will be involved in the evaluation process.

Weeks 4-5 of the term:

The Office of Information Resource Management (IRM) will make a secure on-line survey available to faculty eligible to participate in the process. The anonymity of the respondent will be assured by IRM unless the respondent explicitly chooses to be identified. The survey will have a set of standard questions and will include substantial opportunity for additional written comments. When the survey is completed, a summary of the quantitative data and the entirety of the written comments will be made available to the Provost.

If there are College-specific issues that are not within the scope of the survey, the Provost should arrange for College input on these matters.

A similar process will be used to solicit responses from the Chairs/Directors, Associate Deans, the College's professional staff, and the Associate Provost. The other Deans, other relevant College and University officers, and community members as identified by the Provost, Dean and/or the faculty, will also be asked for their input. They will be asked to focus on the Dean's ability to develop the College's strengths, build consensus, and effectively administer its operations.

The Provost will meet confidentially with faculty who request such meetings after they have completed the survey. If warranted, the Provost may ask for additional information from or meetings with individuals or groups.

Weeks 6-12 of the term:

The Provost may meet with the faculty to discuss the input provided through the surveys and meetings. As appropriate and possible, the Provost may also meet for discussion with others who have provided input.

The Provost meets with the Dean to discuss the results of the review, providing the Dean with the aggregate data from the faculty survey and peer survey. Open-ended responses will be made available only to the Provost. Thereafter, the Provost delivers an overall written report on the review to the Dean.

Week 15 of the term:

The Provost meets with the College to discuss the results of the review.

Subsequent semesters:

In subsequent semesters, the Provost may schedule a meeting with the College to review progress in regard to the goals and recommendations made at the conclusion of this evaluation process.

Evaluation of the Dean of University of Libraries

Process and Semester Timetable

Week 1 of the term:

The Provost meets with the Dean to review the process, the on-line surveys to be used, and to discuss the Library and University officers and community members who will be consulted as part of the evaluation.

Weeks 2-4 of the term:

The Provost meets with Library personnel and reviews the evaluation process and clarifies the Dean's duties and responsibilities. The Provost seeks advice about any Library-specific issues that are relevant to the evaluation of the Dean.

Weeks 3-4 of the term:

The Dean presents a self-evaluation to the Provost, in which he or she discusses achievements, challenges, and proposals for future Library development. The Provost may suggest a standard template to be used for the self-evaluation. The Provost transmits this self-evaluation to all those who will be involved in the evaluation process.

Weeks 4-5 of the term:

The Office of Information Resource Management (IRM) will make a secure on-line survey available to Library personnel (Assistant, Associate, University Librarians, AMP, SP employees) eligible to participate in the process. The anonymity of the respondent will be assured by IRM unless the respondent explicitly chooses to be identified. The survey will have a set of standard questions and will include substantial opportunity for additional written comments. When the survey is completed, a summary of the quantitative data and the entirety of the written comments will be made available to the Provost.

If there are Library-specific issues that are not within the scope of the survey, the Provost should arrange for Library input on these matters.

A similar process will be used to solicit responses from peers. These will include peers internal to the Library (Department Heads, Coordinators, Assistant Deans, Associate Deans) as well as external to the Library (Deans, Associate Provosts, Vice Presidents and other relevant College and University Officers). They will be asked for their input via a survey. Community members as identified by the Provost and the Dean will also be asked for their input. They will be asked to focus on the Dean's ability to develop the Library's strengths, build consensus, and effectively administer its operations.

The Provost will meet confidentially with Library personnel who request such meetings after they have completed the survey. If warranted, the Provost may ask for additional information from or meetings with individuals or groups.

Weeks 6-12 of the term:

The Provost may meet with the Library personnel to discuss the input provided through the surveys and meetings. As appropriate and possible, the Provost may also meet for discussion with others who have provided input.

The Provost meets with the Dean to discuss the results of the review, providing the Dean with the aggregate data from the surveys. Open-ended responses will be made only to the Provost. Thereafter, the Provost delivers an overall written report on the review to the Dean.

Week 15 of the term:

The Provost meets with the Library personnel to discuss the results of the review.

Subsequent semesters:

In subsequent semesters, the Provost may schedule a meeting with the Library personnel to review progress in regard to the goals and recommendations made at the conclusion of this evaluation process.