

UGPC APPROVAL

UFS APPROVAL

SCNS SUBMITTAL

CONFIRMED

DEPARTMENT NAME:
SCHOOL OF PUBLIC ADMINISTRATION

COLLEGE OF:
College of Architecture, Urban and Public Affairs

RECOMMENDED COURSE IDENTIFICATION:
PREFIX PAD COURSE NUMBER 6XXX LAB CODE (L or C) None
(TO OBTAIN A COURSE NUMBER, CONTACT ERUDOLPH@FAU.EDU)
COMPLETE COURSE TITLE: REGULATION

EFFECTIVE DATE
(first term course will be offered)

CREDITS: 3

Textbook Information:

- ◆ Teske, Paul E. 2004. Regulation in the States. Washington, DC: New York: Brookings Institution Press
- ◆ Marc Allen Eisner, *Regulatory Politics in Transition*, 2nd ed. (Baltimore: Johns Hopkins University Press, 2000)

GRADING (SELECT ONLY ONE GRADING OPTION): REGULAR X PASS/FAIL _____
SATISFACTORY/UNSATISFACTORY

COURSE DESCRIPTION, NO MORE THAN 3 LINES:

The aim of the course is to analyze how and why bureaucracies develop regulations and the role that regulations play in the policy process. In doing so, it will focus on the economic, political, administrative, and social factors that influence regulatory choices and on the impacts of those regulations.

PREREQUISITES W/MINIMUM GRADE:*

COREQUISITES: NONE

OTHER REGISTRATION CONTROLS (MAJOR, COLLEGE, LEVEL):
NONE

PREREQUISITES, COREQUISITES & REGISTRATION CONTROLS SHOWN ABOVE WILL BE ENFORCED FOR ALL COURSE SECTIONS.

MINIMUM QUALIFICATIONS NEEDED TO TEACH THIS COURSE: PH.D. DEGREE

Other departments, colleges that might be affected by the new course must be consulted. List entities that have been consulted and attach written comments from each.

Alka Sapat, asapat@fau.edu. 561-297-0443

Faculty Contact, Email, Complete Phone Number

SIGNATURES
MATERIALS

SUPPORTING

<p><i>Approved by:</i> Department Chair: College Curriculum Chair: College Dean: UGPC Chair: Dean of the Graduate College:</p>	<p><i>Date:</i> _____ _____ _____ _____ _____</p>	<p>Syllabus—must include all details as shown in the UGPC Guidelines. Written Consent—required from all departments affected. Go to: http://graduate.fau.edu/gpc/</p>
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Email this form and syllabus to sfulks@fau.edu and egirjo@fau.edu one week **before** the University Graduate Programs Committee meeting so that materials may be viewed on the UGPC website by committee members prior to the meeting.

PAD 6 XXXX Regulation

Course Description:

The purpose of this course is to analyze how and why bureaucracies develop regulations and the role that regulations play in public policy. The first half of the course will focus on the economic, political, administrative, and social factors that influence regulatory choices and the second half of the course focuses on the impacts of those regulations. The course begins by examining the rationale for government regulations and the advantages and disadvantages of governments versus markets in solving market failures. Next, it will focus on theories of regulation and de-regulation and trace the evolution of American regulatory policies. The third module focuses on regulation in various policy areas, by examining bureaucratic and administrative processes of regulation and discussing the ramifications of these policies. In doing so, we will also examine regulatory evaluation techniques and consider regulatory reforms and alternatives.

Course Objectives

The course is intended to provide:

- Theoretical perspectives from relevant policy literature;
- Exposure to research issues and strategies for studying regulatory policy design and implementation; and
- Exposure to issues regarding the design and implementation of social and environmental regulatory policies.

Required Texts:

- ◆ Teske, Paul E. 2004. *Regulation in the States*. Washington, DC: New York: Brookings Institution Press.
- ◆ Marc Allen Eisner. 2000. 2nd ed. *Regulatory Politics in Transition*, (Baltimore: Johns Hopkins University Press.

Course Format and Requirements

We will operate seminar-style and classes will be a mix of lectures, class discussions, and student presentations. All reading should be done before each session to facilitate discussion. There are no exams for this course. Required course assignments include the following: 1) class participation; 2) article critiques and facilitation of discussion; 3) focus papers; and, 4) a research paper.

1) Attendance and Class Participation

You must attend every class session. If you cannot make it to class, I expect you to call me or send me an email message before the class begins. Class participation is an essential component of the requirements for successful completion of this class. Points for class participation are not simply guaranteed by attendance; class participation consists not only of attendance. Participation entails reading all the assignments and being an active member in class discussions.

2) Article Critiques

Each student must facilitate and lead the discussion on any two of the readings (readings should be from separate class sessions) during the course. Facilitating a class discussion entails summarizing and critically analyzing the assigned readings, and presenting insightful comments and questions, which will serve to guide discussion in the class. Each student must also prepare and hand in a two page critique on the two assigned readings.

3) Focus Papers

For each class, every student (except those presenting and facilitating a discussion on a reading) must prepare a one-page focus paper (papers must not be more than one page, should be double-spaced, minimum 11pt. font, with one-inch margins). The paper should be a critique and discussion of any of the assigned readings for the topic being discussed. The critique can focus on either a point raised in an article/chapter or alternatively can focus on a combination of points raised in any of the articles/chapters

assigned for that class. As noted, if you are presenting/facilitating a discussion of any of the readings for that class, you will be exempt from this assignment for that particular class.

4) Research Paper

The research paper should focus on any area related to disaster management. In order to avoid confusion about appropriate research topics, I require a preliminary description of your research topic on the date noted in the syllabus. The final paper should be between 20-25 pages in length, double-spaced, minimum 11 pt. font, with one-inch margins all around. Additional information on requirements for the research paper will be provided during the semester.

A grade of B on these requirements will mean that the work was fully satisfactory and that you have demonstrated a thorough understanding of techniques, have participated in class, and have actively engaged in class discussions. Work that demonstrates exemplary and exceptional creativity and critical insight into the promise and pitfalls of qualitative research and that makes innovative use of qualitative techniques, along with meeting all other course objectives will be assigned an A. Late papers will be downgraded by one grade for each day it is turned in late.

The grade breakdown is as follows:

Attendance & Participation	10%
Focus Papers	30%
Article Critiques	30%
Paper	30%

7. Grading criteria.

This course follows the plus/minus grading system in FAU Catalog (see Academic Policies and Regulations, the Grading System). To be more specific: A=4.0=91-100%; B=3.0=81-90%; C=2.0=71-80%; D=1.0=61-70%; F=0.0=60% or lower.

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Open Door Policy

If you have any questions about the course material or the requirements, I am always available to talk to you. You may send me an email (asapat @fau.edu) or call me in my office at any time. Since most of you have work and other responsibilities, I expect that it may be difficult to meet me at office hours during the day; thus, anytime you need to meet with me, you can set up an appointment or you can meet with me before or after class. The instructor is available by appointment, phone and email and will discuss issues of concern directly with students.

Grievance Procedure

If, at any time, you feel that you have a reason to grieve a particular incident, the University provides avenues to address your concerns. You should contact the Student Union, or refer to the Student Handbook for such procedures. If you are unable to get these documents, I can copy them for you or contact the Chair of the School for assistance.

Students with Disabilities

All reasonable accommodations will be provided for students with disabilities.

However, in compliance with the Americans with Disabilities Act (ADA), students who require special accommodations due to a disability to properly execute coursework must register with the Office for Students with Disabilities (OSD) located in Boca Raton -SU 133 (561-297-3880).

Academic Honesty

Students at Florida Atlantic University are expected to maintain the highest ethical standards. Academic dishonesty, including cheating and plagiarism, is considered a serious breach of these ethical standards, because it interferes with the University mission to provide a high quality education in which no student enjoys an unfair advantage over any other. Academic dishonesty is also destructive of the University community, which is grounded in a system of mutual trust and places high value on personal integrity and individual responsibility. Harsh Penalties are associated with academic dishonesty. For more information, see:

http://www.fau.edu/regulations/chapter4/4.001_Honor Code. pdf

The CAUPA ethical guidelines are posted under Assignment #1.

Course Schedule:

MODULE I General Theories of Regulation

Class 1 Introduction to Regulation and Rule-making

Class 2 A. Dilemmas of Regulation

Class 3 B. Market Failures and Rationales for Regulation

C. The Interest Group Theory of Regulation

Class 4 D. Informational and Reputational Theories of Regulation

MODULE II Regulatory Regimes

Class 5 How American Regulation is Constituted

Class 6 Regulatory Regimes

Class 7 Mid-Term Exam

Class 8 Regulation Redux: , De-regulation, and Re-regulation at the Federal Level

Class 9 Regulation, De-regulation, and Re-regulation at the State Level

MODULE III Studying Regulation in Various Areas

Class 10 Environmental Regulation- Rationale, Policies, and Compliance Issues

Class 11 Policy Alternatives in Environmental Regulation -- Pollution Emissions Trading, Self-Regulation

Class 12 Federal Regulation of Energy: Nuclear Power, licensing & other regulations upon the production and distribution of energy

Class 13 Transportation Regulation in the U.S.

Class 14 Social Regulation in the U.S.

Class 15 Health and Pharmaceutical Industry Regulations

Class 16

Final Exam. Final Paper Due

Bibliography:

- ◆ Bardach, Eugene and Robert Kagan. 2002. *Going By the Book, The Problem of Regulatory Unreasonableness*, New Brunswick: Transaction, pp2-122.
- ◆ Carpenter, Daniel and Michael Ting (2007) "Regulatory Errors with Endogenous Agendas" *American Journal of Political Science*. 51(4): 835.
- ◆ Coglianese, Cary and David Lazer. 2002. "Management-Based Regulatory Strategies," in John D. Donahue and Joseph S. Nye eds. *Market-Based Governance*. Washington, DC: Brookings Press, pp. 201-224.
- ◆ Coglianese, Cary, Jennifer Nash, and Todd Olmstead. 2002. "Performance and Regulation: A Conceptual Overview," Cambridge, MA: Center for Regulation, Kennedy School of Government, 8pp.
- ◆ Dal Bo, Ernesto (2006) "Regulatory Capture: A Review". *Oxford Review of Economic Policy*. 22(2): 203.
- ◆ Fiorino, Daniel. 2006. *The New Environmental Regulation*. Boston, MA: MIT Press.
- ◆ Firestone, Jeremy. 2002. "Agency Governance and Enforcement: The Influence of Mission on Environmental Decisionmaking," *Journal of Policy Analysis and Management* 21 (3): 409-426.
- ◆ Gordon, Sanford and Catherine Hafer (2005) "Flexing Muscle: Corporate Political Expenditures as Signals to the Bureaucracy." *American Political Science Review*. 99(2): 245.
- ◆ Harrison, Kathryn. "Is Cooperation the Answer? Canadian Environmental Enforcement in Comparative Context," *Journal of Policy Analysis and Management* 14 (Spring 1995): 221-244.
- ◆ Howard, Philip. 2009. *Life without Lawyers: Liberating America from too much Law*. NY: W.W. Norton Press.
- ◆ Innes, Robert and Abdoul G. Sam. "Voluntary Pollution Reductions and the Enforcement of Environmental Law: An Empirical Study of the 33/50 Program" *Journal of Law and Economics* 51 (May 2008): 271-296.

- ◆ Jasanoff, Sheila. 1993. "American Exceptionalism and the Political Acknowledgement of Risk" in Edward Burger (ed.) *Risk*, Ann Arbor: University of Michigan Press.
- ◆ Kagan, Robert A., "Regulatory Enforcement," in David H. Rosenbloom and Richard D. Schwartz, eds. *Handbook of Regulation and Administrative Law* (New York: Marcel Dekker, Inc., 1994): 383-422.
- ◆ Kerwin, Cornelius Kerwin. 2003. *Rulemaking: How Government Agencies Write Law and Make Policy, 3rd edition* (2003), Congressional Quarterly Press.
- ◆ Kettl, Donald F. 2001. *The Global Public Management Revolution: A Report on the Transformation of Governance*. Brookings Institution.
- ◆ Lehne, Richard *Government and Business: American Political Economy in Comparative Perspective* (Chatham House: 2001) paper ISBN 1-889119-38-5
- ◆ Martha Derthick. 2005. 2nd ed. *Up in Smoke: From Legislation to Litigation in Tobacco Politics* (Washington: CQ Press)
- ◆ May, Peter J. and Raymond J Burby, "Making Sense Out of Regulatory Enforcement," *Law and Policy* 19 (April 1998): 157-182.
- ◆ May, Peter J. and Soeren Winter. 1999. "Regulatory Enforcement and Compliance: Examining Danish Agro-Environmental Policy," *Journal of Policy Analysis and Management* 18 (4): 625-651.
- ◆ O'Rourke, Dara. (2003) "Outsourcing Regulation: Analyzing Nongovernmental Systems of Labor Standards and Monitoring," *Policy Studies Journal*, 31(1), 1-29.
- ◆ Organization for Economic Cooperation and Development, *The Use of Voluntary Agreements in the United States: An Initial Survey* ENV/EPOC/GEEI(98)27, Paris: OECD, 1998; 39p.
- ◆ Portney, Paul R. "The (Not So) New Corporate Social Responsibility: An Empirical Perspective" *Review of Environmental Economics and Policy* 2 (Summer 2008): 261-276.
- ◆ Rui De Figueiredo, J. P. and Geoff Edwards (2007) "Does Private Money Buy Public Policy? Campaign Contributions and Regulatory Outcomes in Telecommunications". *Journal of Economics and Management Strategy*. 16(3): 547.

- ◆ Scholz, John T., "Voluntary Compliance and Regulatory Enforcement," *Law and Policy* 6 (October 1984): 385-405.
- ◆ Shipan, Charles, R. "Regulatory Regimes, Agency Actions, and the Conditional Nature of Congressional Influence," *American Political Science Review*, 98(3) August 2004, 467-480.
- ◆ Skrzycki, Cindy. *The Regulators: Anonymous Power Brokers in American Politics*, Roman and Littlefield (2003).
- ◆ Vogel, David. 2003. "The Tortoise and the Hare Revisited: The New Politics of Consumer and Environmental Regulation" *British Journal of Political Science* 33, pp558-80.
- ◆ Welch, Eric W., Allan Mazur, and Stuart Bretschneider. 2000. "Voluntary Behavior by Electric Utilities: Levels of Adoption and Contribution of the Climate Challenge Program to the Reduction of Carbon Dioxide," *Journal of Policy Analysis and Management* 19 (Summer): 408-425.
- ◆ Wilson, James Q. (ed.). 1980. *The Politics of Regulation*. New York: Basic Books, Inc., Chapter 10.