# **FLORIDA**

### **ATLANTIC UNIVERSITY**

### **NEW COURSE PROPOSAL Graduate Programs**

Department Comp. and Electrical Eng. and Comp. Science

Engineering and Computer Science College

(To obtain a course number, contact erudolph@fau.edu)

UGPC Approval		
UFS Approval		
SCNS Submittal		
Confirmed		
Banner		
Catalog		

Prefix CAP	(L = Lab Course; C = Combined Lecture/Lab;	Type of Course	<b>Course Title</b>	
Number 6547	add if appropriate)  Lab  Code	Lecture	Reinforce	ement Learning
Credits (Review Provost Memorandum)  3  Effective Date (TERM & YEAR) Spring 2021	Grading (Select One Option)  Regular X  Sat/UnSat	Course Description (Syllabus must be attached; see Guidelines) Reinforcement learning aims to build programs which learn how to predict and act in a stochastic environment, based on past experience. This course will study theoretical properties and practical applications of reinforcement learning. Course topics include Markov decision process, dynamic programming, temporal-difference learning, planning and learning with tabular methods, and deep reinforcement learning.		
Prerequisites  COP 3530 and STA 4821, or permission of the instructor		Academic Service Learning (ASL) course  Academic Service Learning statement must be indicated in syllabus and approval attached to this form.  Correction Controls (Formation Control		
		Corequisites NA		Registration Controls (For example, Major, College, Level)  Graduate and senior students
Prerequisites, Corequisites and Registration Controls are enforced for all sections of course.				
Minimum qualifications needed to teach		List textbook information in syllabus or here		
course: Member of the FAU graduate faculty and has a terminal degree in the subject area (or a closely related field.)		Richard S. Sutton and Andrew G. Barto, Reinforcement learning: An introduction, Second Edition, MIT Press, 2019		
Faculty Contact/Email/Phone Zhen Ni/zhenni@fau.edu/561-297-0035 Xingquan Zhu/xzhu3@fau.edu/561-297-3452		List/Attach comments from departments affected by new course NA		

Approved by	r		Date
Department Chair	Hanqi Zhuang	Digitally signed by Hanqi Zhuang DN: cn=Hanqi Zhuang, o=FAU, ou=CEECS, email=zhuang@fau.edu, c=US Date: 2020.05.13 17:07:51 -04'00'	5/13/2020
College Curriculum Chair	Ramesh Teegavarapu	Digitally signed by Ramesh Teegavarapu Dik: cn-Ramesh Teegavarapu, o=Florida Atlantic University, ou=Civil, Environmental and Geomatics Engineering, emal=retegavagifau.edu, c=US Date: 2020.05.14 08:57:01-04'00'	5/14/2020
College Dean	Mihaela Cardei Digitally signed by Mihaela Carde Orlino Cardei Orlino Ca	iversity, ox.	5/24/2020
UGPC Chair			
UGC Chair ———			
Graduate College Dean			
UFS President			
Provost			

Email this form and syllabus to <a href="UGPC@fau.edu">UGPC@fau.edu</a> 10 days before the UGPC meeting.

Course title/number, number of credit hours			
•			
Reinforcement Learning – CAF	9 6547	3 credit hours	
2. Course prerequisites, corec	quisites, and where the co	urse fits in the program of study	
Prerequisites: COP3530 Data S or permission of the instructor	_	nalysis and STA 4821 Stochastic Models for CS,	
3. Course logistics			
Term: Spring 2021			
Class location and time: TBA			
4. Instructor contact informa	tion		
Instructor's name Office address Office Hours Contact telephone number Email address	Dr. Zhen Ni / Xingquan Zhu Engineering East (EE-96) Bldg., Room 436/EE 503B TBA 561-297-0035/561-297-3452 zhenni@fau.edu / xzhu3@fau.edu		
5. TA contact information			
TA's name Office address Office Hours Contact telephone number Email address	N/A N/A N/A N/A N/A		
6. Course description			
environment, based on past exapplications of reinforcement	xperience. This course will s learning. Course topics incl	now to predict and act in a stochastic study theoretical properties and practical ude Markov decision process, dynamic d learning with tabular methods, and deep	
7. Course objectives/student	learning outcomes/progra	am outcomes	
Course objectives	hands-on experiences of classical reinforcement le dynamic programming, C reinforcement learning m	or students to gain theoretical knoweldge and reinforcement learning. The class will study earning methods, such Markov decision process, 2-learning, as well as advanced deep nethods. At the end of the class, students should be whole process of building rewarding and	
8. Course evaluation method			

Home Work -	30%	(four homework, 10 pts each)
Midterm -	15%	(one midterm test)
Term Project -	25%	(one term project)
Reading material -	15%	(one student presentation on selected research paper)
Final -	15%	(one final exam)
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#### 9. Course grading scale

#### Grading Scale:

9o and above: "A", 85-89: "A-", 76-84: "B+", 70-75: "B", 66-74: "C+", 60-65: "C", 50-59: "D", 49 and below: "F."

#### 10. Policy on makeup tests, late work, and incompletes

Makeup tests are possible, and are given only if there is solid evidence of medical or otherwise family/personal emergency issues that prevent the student from participating in the exam. Makeup exam should be administered and proctored by department personnel unless there are other pre-approved arrangements

Late work is not acceptable.

A grade of incomplete will be assigned only in the case of solid evidence of medical or otherwise serious emergency situation.

#### 11. Special course requirements

#### N/A

#### 12. Classroom etiquette policy

University policy requires that in order to enhance and maintain a productive atmosphere for education, personal communication devices, such as cellular phones and laptops, are to be disabled in class sessions.

#### 13. Attendance policy statement

Students are expected to attend all of their scheduled University classes and to satisfy all academic objectives as outlined by the instructor. The effect of absences upon grades is determined by the instructor, and the University reserves the right to deal at any time with individual cases of non-attendance. Students are responsible for arranging to make up work missed because of legitimate class absence, such as illness, family emergencies, military obligation, court-imposed legal obligations or participation in University-approved activities. Examples of University-approved reasons for absences include participating on an athletic or scholastic team, musical and theatrical performances and debate activities. It is the student's responsibility to give the instructor notice prior to any anticipated absences and within a reasonable amount of time after an unanticipated absence, ordinarily by the next scheduled class meeting. Instructors must allow each student who is absent for a University-approved reason the opportunity to make up work missed without any reduction in the student's final course grade as a direct result of such absence.

#### 14. Disability policy statement

In compliance with the Americans with Disabilities Act Amendments Act (ADAAA), students who require reasonable accommodations due to a disability to properly execute coursework must register with Student Accessibility Services (SAS) and follow all SAS procedures. SAS has offices across three of FAU's

campuses – Boca Raton, Davie and Jupiter – however disability services are available for students on all campuses. For more information, please visit the SAS website at www.fau.edu/sas/

#### 15. Counseling and Psychological Services (CAPS) Center

Life as a university student can be challenging physically, mentally and emotionally. Students who find stress negatively affecting their ability to achieve academic or personal goals may wish to consider utilizing FAU's Counseling and Psychological Services (CAPS) Center. CAPS provides FAU students a range of services – individual counseling, support meetings, and psychiatric services, to name a few – offered to help improve and maintain emotional well-being. For more information, go to <a href="http://www.fau.edu/counseling/">http://www.fau.edu/counseling/</a>

#### 16. Code of Academic Integrity Policy Statement

Students at Florida Atlantic University are expected to maintain the highest ethical standards. Academic dishonesty is considered a serious breach of these ethical standards, because it interferes with the university mission to provide a high quality education in which no student enjoys an unfair advantage over any other. Academic dishonesty is also destructive of the university community, which is grounded in a system of mutual trust and places high value on personal integrity and individual responsibility. Harsh penalties are associated with academic dishonesty. For more information, see <a href="University Regulation 4.001">University Regulation 4.001</a>.

#### 17. Required texts/reading

Richard S. Sutton and Andrew G. Barto, Reinforcement learning: An introduction, Second Edition, MIT Press, 2019

#### 18. Supplementary/recommended readings

Csaba Szepesvari (Author), Ronald Brachman (Series Editor), Thomas Dietterich (Series Editor)	),
Algorithms for Reinforcement Learning, Morgan and Claypool Publishers; 1 edition (June 25, 20	010)

19. Course topical outline, including dates for exams/quizzes, papers, completion of reading

Weekly	

Weekly schedule	Торіс
Week 1	Introduction to reinforcement learning
Week 2	Multi-armed bandits
Week 3	Goal, rewards, and policy evaluation functions
	(homework 1 posted)
Week 4	Dynamic Programming
Week 5	Asynchronous dynamic Programming
	(homework 1 due)
Week 6	Monte Carlo Methods
	(homework 2 posted)
Week 7	Temporal-difference learning
Week 8	Q-learning
	(homework 2 due)
Week 9	n-step Bootstrapping
	(homework 3 posted)
Week 10	Planning and Learning
	(mid-term test, term project announcement)
Week 11	Policy prediction with approximation
	(student presentation announcement, homework 3 due)
Week 12	Stochastic-gradient and semi-gradient methods
Week 13	Policy gradient methods: Actor-critic methods
	(homework 4 posted)
Week 14	Deep Reinforcement Learning
Week 15	Student Presentation
	(term project report due, homework 4 due)

**Project:** The goal of the term project is to practice knowledge learned from the class and have each student to work on a hands on project during the second part of the class. Each student is required to identify a suitable topic (such as Q-learning for stock trading), and apply reinforcement learning algorithms learned from the class to solve a research problem, implement and validate the design, and collect experimental results for reporting. Students will prepare a minimum 4-page term project technical report.