TITLE: SELECTION PROCESS – CONSTRUCTION MANAGER (CM) (MAJOR and MINOR PROJECTS)

OBJECTIVE AND PURPOSE: To ensure the proper processing of Florida Atlantic University’s Construction Manager Qualification Supplement (FAUCMQS-April 2003/Revised January 2004 (Attachment “A”). To ensure that the shortlist and interview process is consistent with State Statutes for CM Selection.

RESPONSIBILITY

DCS DIRECTOR

◆ Post notification for submittal due date and time on the message board located in the lobby of the Campus Operations Building (COB #69).
◆ Time stamp all proposals and record the name of all applicants received
◆ Contact Selection Committee Members to schedule Shortlist Meeting (meeting to occur approximately 2 weeks after receiving CM submittals).
◆ Post Public Notice indicating Time, Date and Location of CM Shortlist meeting
  ▪ Time stamp public notice prior to posting,
  ▪ Notice to be posted in the Campus Operations Building (COB #69) message board and in the Purchasing Office for public notification a minimum of 72 hours prior to meeting date.
◆ Review all submittals for completeness and document irregularities
◆ Prepare Construction Manager CM Worksheet and Shortlist Rank Form (Attachment “C”).
◆ Transmit Shortlist Rank form to Associate VP Facilities Management for review

ASSOCIATE VP FACILITIES MANAGEMENT

◆ Review Shortlist Rank form for consistency with CM proposal submittal.
◆ Forward approved Shortlist Rank form to the UAVP Designee for transmitting.
◆ Distribute the following to the UAVP for Facilities and Committee Members
  ▪ One (1) copy of each CM Submittal
  ▪ CM Shortlist Rank Worksheet (Attachment “B”)
  ▪ CM Shortlist Rank form (Attachment “C”)

COMMITTEE MEMBERS

◆ Prior to Scheduled Shortlist Meeting review all proposals and rank submittals taking into consideration the following:
  ▪ Related experience
  ▪ Ability to Provide Service
  ▪ Cost & Quality Control
  ▪ Scheduling

ASSOCIATE VP FACILITIES MANAGEMENT

◆ Attend and chair (or designee) shortlist meeting.

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Issued By: Dashtaki
Date Issued: 9-30-2014
Date Revised: N/A
Effective Date: 9-30-2014

APPROVED: Vice Pres. Admin Affairs Assistant VP Director
COMMITTEE MEMBERS

- Attend short list meeting
- The Committee convenes to develop the short list. The Committee members individually evaluate the applicant firms based on the following: related experience, ability to provide service, cost and quality control methods and scheduling. Each Committee member ranks the firms on the Construction Manager Shortlist Worksheet (Attachment “B”). At the shortlist meeting following discussion and any adjustments the Committees individual rankings are totaled. The firms with the lowest total rank points will be shortlisted for interview. The short list is no fewer than three (3) firms and no more than five (5) firms. For a project having three or fewer applicants, each firm is included on the short list. If the project architect/engineer was involved in the short list process, his/her recommended rank is noted for information.

ASSOCIATE VP FACILITIES MANAGEMENT

- Complete Construction Manager Selection Shortlist Rank Form (Attachment “C”) by entering each Committee Members rank and obtain Selection Committee’s signatures
- Prepare and submit memorandum (Attachment “D”) to the VP Admin Affairs recommending the shortlisted firms and attach a signed copy of the Construction Manager Selection Shortlist Rank Form (Attachment “C”)

VICE PRESIDENT ADMIN. AFFAIRS

- Review recommendation with the University President
- Upon approval by the University President notify the UAVP Designee.

DCS DIRECTOR

- Prepare notification letters (Attachment “D”) to the non-shortlisted firms (fax and certified mail) for execution by the UAVP for Facilities
- Contact Selection Committee Members to schedule Time, Date and Location of CM Final Interviews
- Prepare notification letters (Attachment “E”) to short listed firms (fax and certified mail) for execution by the UAVP for Facilities. Notification letter to contain and/or identify:
  - Time, Date and Location of the interview
  - Length of time allotted for the interview
  - Description of interview requirements
  - Location of approved Facilities Program
  - Request for presentation summary handout
- Post Public Notice indicating Time, Date and Location of CM Interviews a minimum of 72 hours prior to meeting date. Notice to be posted in Campus Operations Building #69 bulletin board and the Department of Purchasing.

  - Public notice for CM interviews must state, “Interview sessions are specifically exempt from Florida Sunshine Laws and will be closed to the public according to Section 119.071, F.S. and Section 286.0113, F.S. Deliberations of the selection committee are open to the public and will immediately follow the interview sessions.”
- When applicable, notify Project A/E as to the Time, Date and Location of CM Interviews.
- Conduct reference checks (Attachment “F”) for each shortlisted firm (at least 3 reference checks per firm)
- Prepare Construction Manager Selection Interview Worksheet Form (Attachment “G”) for Final CM interviews.
- Forward reference checks and interview worksheet to the AVP Facilities Management prior to interview date.

ASSOCIATE VP FACILITIES MANAGEMENT or DESIGNEE

- Attend and Chair (or designee) CM Interviews.
- Complete Interview worksheet with Selection Committee Members’ scores and ranking for each applicant.
Complete Interview worksheet with Selection Committee Members’ scores and ranking for each applicant.

Print Construction Manager Selection Evaluation Form (Attachment “H”) with final ranking of the applicants and obtain selection committee signature.

**COMMITTEE MEMBERS**

- Attend CM interviews
- Public Notice for CM interviews must state, “Interview sessions are specifically exempt from Florida Sunshine Laws and will be closed to the public according to Section 119.071, F.S. and Section 286.0113, F.S. Deliberations of the selection committee are open to the public and will immediately follow the interview sessions.”
- Interviews for the selection of a construction manager should be held as soon as possible after the architect/engineer selection. The AVP Facilities Management (or his designee) introduces the committee voting members and the visitors present. Once an Applicant has begun the interviews, the door should be closed to minimize interruptions to the Applicant’s presentation. Applicants are ranked in the following areas:
  
a. **Understanding of the Program and Project Requirements:** Applicants are evaluated on their understanding of the requirements and needs of the project as demonstrated by their project teams. Applicants are rated on the completeness of their understanding of the factors that are unique to the project, including the thoroughness demonstrated in analyzing and investigating the scope of the project and in preparing for the interview. The score range is 0-20.
  
b. **Approach and Method:** Applicants are evaluated on their (and their consultants, if any) proposed methods and approaches for preconstruction services, construction staging, scheduling, quality control, safety, coordination, and administration of the project. Applicants should be asked to identify by name and key personnel of their proposed team: project executive, project manager, estimator, superintendent, and other key staff members to be as signed to the job. The score range is 0-20.
  
c. **Ability to Provide Service:** Applicants are evaluated on their ability to meet the Owner’s required timetable and to provide for the special or unique requirements of the project including a projected time line of activities through project completion. The Applicants should be asked to discuss their ability to fulfill each particular project requirement and to describe all other projects on which team members are currently involved. Results of the reference checks will be considered in this category. The score range is 0-20.
  
- Following each interview, a time will be set aside for the Committee to ask questions.
- When applicable, the Architect/Engineer should be encouraged to attend the oral presentation. The Architect/Engineer’s ranking should be noted as information.
- Immediately following the conclusion of the interviews, the Selection Committee should ask for input from user representatives who attended the interviews. The Committee discusses the presentations.
- Committee members individually evaluate the firms based on the Construction Manager Interview criteria, using the Construction Manager Selection Interview Worksheet (Attachment “G”). Based on their individual scores, committee members rank the interviewed applicants.

**ASSOCIATE VP FACILITIES MANAGEMENT or DESIGNEE**

- Complete Construction Manager Interview Worksheet (Attachment “G”) with Selection Committee Members scores and ranking for each applicant. The individual ranks are added to obtain the Committee score for each of the firms interviewed. The firm with the lowest total score is the firm listed first, etc. In case of a tie, the short list rank breaks the tie.
- Print Construction Manager Selection Evaluation Form (Attachment “H”) with final ranking of the applicants and obtain Selection Committee signatures.
Prepare memorandum to the University President (Attachment “I”), from VP Admin. Affairs recommending approval of final ranking of firms.

Submit memorandum and copy of signed Construction Manager Selection Evaluation Form (Attachment “H”) to the UAVP for Facilities for review and signature.

Review, sign and forward recommendation memorandum and copy of Construction Manager Selection Evaluation form (Attachment “H”) to the VP Admin. Affairs.

Review recommendation with the University President.

Upon approval by the University President notify the AVP Facilities Management or Designee.

Prepare notification letter (Attachment “I”) for award to CM firm ranked number one and notification letters (Attachment “J”) indicating final ranking to remaining firms.

Submit letters to the office of the UAVP for Facilities for signing.

Distribute letters (fax and certified mail) accordingly.

**ATTACHMENTS**

- FAUCMQS – April 2003/Revised January 2004- Attachment “A”
- Construction Manager Shortlist Rank Worksheet - Attachment “B”
- Construction Manager Selection Shortlist Rank form – Attachment “C”
- Shortlist Recommendation Memorandum to President – Attachment “D”
- Notification Letter to Non-Shortlisted Firms – Attachment “E”
- Notification Letter to Shortlisted Firms – Attachment “F”
- Construction Manager Reference Check – Attachment “G”
- Construction Manager Interview Worksheet – Attachment “H”
- Construction Manager Evaluation form – Attachment “I”
- Memorandum of final ranking/approval to President – Attachment “J”
- Notification Letter of Non-Award – Attachment “K”
- Notification Letter of Award – Attachment “L”
INSTRUCTIONS:

A) Please type. Attach additional pages for each numbered section, as necessary.

B) Attach a reproduction of the current Florida Contractor’s License for the APPLICANT.

C) Attach a letter of intent from a surety company indicating the applicant's bond ability for this project. The surety shall acknowledge that the firm may be bonded for each phase of the project, with a potential maximum construction cost of ____________. The surety company must be licensed to do business in the State of Florida, must have a Best Rating of "A", and a required financial size of "Class ___".

D) Number each side of each page consecutively, including letter of interest, brochures, licenses, resumés, supplemental information, etc. Submittals must be limited to 40 pages. Covers, table of contents and divider tabs will not count as pages, provided no additional information is included on those pages. Provide the number of copies of the submittal specified in the advertisement. Any submittals exceeding the 40-page limit will not be considered.

E) Only individual firms or formal joint ventures may apply. Two firms may not apply jointly unless they have formed a joint venture. (See Question 16.) Any associations will be disqualified. (This does not preclude an applicant from having consultants.)

F) When ten related projects are requested (Question 4), do not list more than ten. When up to three project examples are requested, do not list more than three.

1. PROJECT NUMBER: ____________

PROJECT NAME: ____________________________________________

2. FIRM NAME: ____________________________________________

ADDRESS OF PROPOSED OFFICE IN CHARGE: ____________

TELEPHONE NUMBER: ( ) __________ FAX NO: ____________

FEID. NO.: ____________________________________________

FLA. CORPORATE CHARTER NO.: __________________________

3. For how many years has your firm been providing construction management services? __________ General contracting? __________

ATTACHMENT ‘A’ – page 1 of 4
4. **EXPERIENCE/REFERENCES (provide this information on a separate sheet):**

   a. List the ten projects for which your firm has provided/is providing construction management services which are most related to this project. In determining which projects are most related, consider: related size and complexity; how many members of the proposed team worked on the listed project; and, how recently the project was completed. List the projects in priority order, with the most related project listed first.

   b. For each of the listed projects, provide the following information: construction cost (original GMP and final construction cost), current phase of development, estimated (or past) completion date, type of construction services provided (CM at risk with GMP, CM-agency, design/build, general contractor-low bid, negotiated general contract, subcontractor to prime), Owner's contact person and telephone number, and the name and telephone number of the project architect.

5. **APPLICANT'S PERSONNEL (provide this information on a separate sheet):**

   a. List total number of firm's personnel, for the applicant office location, by skill group (e.g. project managers, estimators, project engineers, superintendents, etc.)

   b. Name all key personnel which will be part of the construction management team for this project and provide their cities of residence. Describe in detail the experience and expertise of each team member. (Note: Key personnel must be committed to this project for its duration unless excused by the Owner.)

   c. For each project listed in response to Question No. 4, list the members of the proposed team for this project who worked on each listed project and describe their roles in those projects. (This response may be included with the response to Question No. 4.)

   d. If the team as a whole provided construction management services for any of the projects listed in response to Question No. 4, so indicate.

6. **APPLICANT'S CONSULTANTS (provide this information on a separate sheet):** Name any consultants which are included as part of the proposed team. Describe each consultant's proposed role in the project and its related experience. List projects on which your firm has worked with the consultant.

7. **For three of the projects listed in response to Question No. 4,** describe conflicts or potential conflicts with the Owner or with trade contractors, and describe the methods used to prevent and/or resolve those conflicts.

8. Describe the types of records, reports, monitoring systems, and information management systems which your firm used in the management of those projects. Describe how you used these systems for three of the projects listed in response to Question No. 4.
9. Describe your cost control methods for the pre-construction and construction phases. How do you develop cost estimates and how often are they updated? For three of the projects listed in response to Question No. 4, provide examples of how these techniques were used and what degree of accuracy was achieved. Include examples of successful value engineering to maintain project budgets without sacrificing quality.

10. Describe the way your firm maintains quality control during the pre-construction and construction phases. For three of the projects listed in response to Question No. 4, provide specific examples of how these techniques were used.

11. Describe the way in which your firm develops and maintains project schedules. How often do you update schedules? For three of the projects listed in response to Question No. 4, provide examples of how these techniques were used. Include specific examples of scheduling challenges, and how your firm helped solve them.

(Questions 12-15 may be added at the discretion of the Selection Committee to address specific project issues.)

16. Is the applicant a joint venture? ________ Yes ________ No

If so, describe the division of responsibilities between the participating firms, the offices (location) that will be the primary participants, and the percent interest of each firm. Also, duplicate the signature block and have a principal or officer sign on behalf of each party to the joint venture. Attach a copy of your joint venture agreement to each copy of the submittal. (The joint venture agreement should be numbered within the 40-page submittal.)

17. Do you make the following acknowledgments/certifications? If so, sign on the line provided below and have your signature notarized.

a. Regarding information furnished by the applicant herewith, and as may be provided subsequently (including information presented at interview, if a finalist):

   i) All information of a factual nature is certified to be true and accurate.

   ii) All statements of intent or proposed future action (including the assignment of personnel and the provision of services) are commitments that will be honored by the applicant if awarded the contract.

b. It is acknowledged that:

   i) If any information provided by the applicant is found to be, in the opinion of the Selection Committee, substantially unreliable, this application may be rejected.

   ii) The Selection Committee may reject all applicants and may stop the selection process at any time.

   iii) The selection of finalists for interview will be made on the basis of information provided herein. The interviewed firms will be ranked based on their total scores earned in response to the interview questions to be provided to the finalists and the results of the reference checks.
iv) It is understood that this submittal must be received at _______________ no later than ____ p.m., ________________. Facsimile (FAX) submittals are not acceptable and will not be considered.

c. The undersigned certifies that he/she is a principal or officer of the firm applying for consideration and is authorized to make the above acknowledgments and certifications for and on behalf of the applicant.

d. The undersigned certifies that the Applicant has not been convicted of a public entity crime within the past 36 months, as set forth in Section 287.133, Florida Statutes.

e. Failure to sign this form will result in disqualification.

f. In addition, I fully understand Florida Atlantic University’s Selection Process is based on the information provided herein.

For and on behalf of the Applicant:

Sworn to and subscribed to me, a Notary Public,
this _____ day
of ______________, 20__.

________________________________________________________________________
(type name & title)

________________________________________________________________________(seal)
FLORIDA ATLANTIC UNIVERSITY  
CONSTRUCTION MANAGER SELECTION  

CM SELECTION SHORTLIST RANK - WORKSHEET  
(To be completed by all Committee Members)  

Project Title & Number:  
Committee Member:  
Shortlist Date:  

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NOTE:  
Firms are to be ranked in descending order with the most qualified firm ranked number 1.  

The following areas should be considered in evaluating/ranking the submittals:  
- Related experience  
- Ability to provide service  
- Cost & Quality control  
- Scheduling  

ATTACHMENT ‘B’
## CM SELECTION SHORTLIST RANK FORM

**Project Title & Number:**
**Committee Members:**

**Shortlist Date:**

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### SELECTION COMMITTEE:

Committee Member Name
Title

Committee Member Name
Title

Committee Member Name
Title

Committee Member Name
Title

### ATTACHMENT ‘C’

P:\Policies & Procedures\AVP - CURRENT\Fac. Mgmt #13-CM-Selection-Major-Minor Projects.doc
Page 10 of 19
MEMORANDUM

TO: President __________
FROM: Vice President Administrative Affairs
DATE:
RE: BT-___ (Project Name)

The Selection Committee met on ________________ to conduct the Construction Manager shortlist for the above referenced project. The ________ firms short listed (see attached) by the committee for interviews are as follows:

♦ List firm names and their location

Please review and approve at your earliest convenience so that we may proceed with the notification letters.

Attachment

ATTACHMENT “D”
Date: 

SENT VIA FAX:

Mr. 
(Company Name & Address)

RE: BT- (Project Name)

Dear Mr.:

The Committee Members held the shortlist meeting on the above referenced project on ________________, 20__. The three (3) firms that were selected by the committee members for interview are:

♦ (Firm Name w/City & State)
♦ (Firm Name w/City & State)
♦ (Firm Name w/City & State)

We appreciate your interest in doing work for FAU and look forward to the possibility of working with your firm on future projects.

Sincerely,

Associate Vice President

cc: Committee Members

NOTE: We are required to include in this statement the following: “Failure to file a protest within the time prescribed in s 120.57(3), Florida Statutes, shall constitute a waiver of proceedings under Chapter 120, Florida Statutes.”

ATTACHMENT ‘E’
Date 

and

SENT VIA FAX:

and

CERTIFIED MAIL #______________________________

Mr. 

(Company Name)

(Company Address)

RE: BT- (Project Names)

Dear Mr. :

This is to advise you that your firm has been short listed for the referenced project. The interview schedule has
been established for ___date___, as follows:

(Company Name) (interview time)
(Company Name) (interview time)
(Company Name) (interview time)

Your oral presentation should not include large presentation boards or elaborate graphic presentations (i.e., Power
Point). However, the oral presentations may include 8 ½” x 11” handouts. The “sit down” interviews have been
structured so that each firm will have ______ minutes for a presentation followed by ________ minutes to respond
to questions.

The interviews will be held at ________________________________. The only personnel that should come to
the interviews are key personnel that will have direct involvement with the project and participate in the interview
process. A copy of the Program is available on our website at http: www.fau.edu/facilities/uavp.

The Selection Committee consists of the following individuals:
(names and title of Committee Members).
Please do not contact any Committee members prior to the presentation.

Sincerely,

Associate Vice President

cc: Committee Members (list each name)

ATTACHMENT ‘F’
CONSTRUCTION MANAGER REFERENCE CHECK

(This form is to be completed for each of the references checked. If the Owner’s Representative cannot be reached, please list the attempts in the “Comments” section below.)

Construction Manager:  

Project:  

Owner:  

Who was contacted?:  

(Name)  

(Date)  

1. What construction management services were performed?:  

2. What was the project size?:  

3. Was the project completed on time?  

   _____ Yes  
   _____ No  

4. Was the project completed within budget?  

   _____ Yes  
   _____ No  

5. Did the construction manager make appropriate efforts to assure quality construction?  

   ___ Yes  
   ____________ No  

6. What was the overall performance?  

   _____ below average  
   _____ average  
   _____ above average  

7. Would you hire this firm again?  

   ____________ Yes  
   ________ No  

Comments:  

__________________________________________________________________________  

__________________________________________________________________________  

__________________________________________________________________________  

__________________________________________________________________________  

__________________________________________________________________________  

__________________________________________________________________________  

Interview conducted by:  

(Name)  

(Date)  

Signature:  

__________________________________________________________________________  

ATTACHMENT “G”
# CM INTERVIEW WORKSHEET

Project Title/Number:
Selection Committee:

Final Interview Date:

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ATTACHMENT “H”
FLORIDA ATLANTIC UNIVERSITY  
CONSTRUCTION MANAGER SELECTION  

CM SELECTION EVALUATION FORM  

Project Title/Number: 
Selection Committee: 
Final Interview Date: 

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SELECTION COMMITTEE:  

Committee Member Name ____________________________  
Title ____________________________  

Committee Member Name ____________________________  
Title ____________________________  

Committee Member Name ____________________________  
Title ____________________________  

Committee Member Name ____________________________  
Title ____________________________  

ATTACHMENT ‘I’
MEMORANDUM

TO: President ____________

FROM: , Vice President Administrative Affairs

DATE:

RE: BT-____– (Project Name)
    A/E Interviews

The Selection Committee met on __________ to conduct the A/E interviews for the above referenced project (see attached).

The Selection Committee’s recommendation is to enter into negotiations with ______________________. Upon your approval, we will notify each firm, by certified mail, of the results of the selection.

Please review and approve at your earliest convenience so that we may proceed to notify the interested parties.

Attachments

ATTACHMENT “J”
Date

SENT VIA FAX
And CERTIFIED MAIL #_____________________

(Name)
(Company Name & Address)

RE: BT-____- (Project Name)

Dear Mr. :

It is my pleasure to inform you that the President has accepted the recommendation of the selection committee for the referenced project and made an award to your firm.

In the next couple of weeks our office will contact you to make arrangements for the negotiation meeting. Negotiations will be conducted according to Section 287.055, Florida Statutes.

We appreciate your interest in the State University System construction program, and look forward to working with your firm on this project. If you have any questions, please feel free to contact me at (561) 297-2663.

Sincerely,

Associate Vice President

cc: Committee Members (list each)

ATTACHMENT ‘K’
Dear Mr.:

Thank you for taking the time to present your qualifications for the referenced project to the Selection Committee. The President accepted the Committee's recommendation to award the project to (Award Firm Name/City/State).

Negotiations will be commenced with the top ranked firm. A failure to negotiate a contract with that firm will result in a negotiation with the following firms as ranked:

1. (List firms interviewed)

We appreciate your interest in Florida Atlantic University's construction program, and hope to work with your firm on a project in the near future. If you have any questions, please feel free to contact me at (561) 297-2663.

Sincerely,

Associate Vice President

cc: Committee Members (list each name)

NOTE: We are required to include in this notice the following statement: “Failure to file a protest within the time prescribed in §120.57(3), Florida Statutes, shall constitute waiver of proceedings under Chapter 120, Florida Statutes.”

ATTACHMENT “L”