

FAU-OIG
SUMMARY OF FOLLOW-UP ON AUDIT RECOMMENDATIONS
SCHEDULED TO BE IMPLEMENTED DURING THE PERIOD OF 7/1 - 9/30/14

IMPLEMENTED (5)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Student Accounts Receivable	FAU13/14-6	1	Lack of Executed Contracts with Collection Agencies	Jessica Cohen	Stacey Semmel	N/A	N/A
Student Accounts Receivable	FAU13/14-6	2	Collection Follow-Up Activities	Jessica Cohen	Stacey Semmel	N/A	N/A
Student Accounts Receivable	FAU13/14-6	3	Payment of Collection Agency Invoices	Jessica Cohen	Stacey Semmel	N/A	N/A
Travel	FAU13/14-5	1	Need for Updates to Existing Travel Policies & Procedures	Jessica Cohen	Stacey Semmel	N/A	N/A
Travel	FAU13/14-5	4	Lack of Documented Approval & Training of New Banner Travel System Delegates	Jessica Cohen	Stacey Semmel	N/A	N/A

PARTIALLY IMPLEMENTED (2)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Karen Slattery Educational Center	FAU13/14-4	1	Money Control Deficiencies	Lydia Bartram	Dr. Joel Herbst	Management needs to ensure that: 1) Monthly reconciliations of Touchnet Marketplace online payments are prepared accurately; 2) Supervisory review of reconciliations are timely; and, 3) The existing departmental written procedures are revised to provide more detail so that they may be used to train employees.	6/30/15

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PARTIALLY IMPLEMENTED - Continued

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Travel	FAU13/14-5	2	Travel Transaction Documentation Deficiencies	Jessica Cohen	Stacey Semmel	Management needs to ensure that: 1) All travel authorizations, (TAs) are electronically submitted by the traveler's delegate and electronically approved by the traveler, his/her supervisor, and an authorized signor on the Banner organization code charged with the expenditure prior to the commencement of travel; 2) All travel reimbursements (TRs) electronically submitted by the traveler's delegate be approved by the traveler, his/her supervisor, and an authorized signor on the Banner organization code charged with the expenditure within 60 calendar days after the expenses are incurred for trips not involving a travel advance; 3) All electronic travel advance requests are approved by the traveler, his/her supervisor, and an authorized signor on the Banner organization code charged with the expenditure; 4) All TAs and TRs are automatically routed to an authorized approver from the Office of Sponsored Programs for approval if the travel is grant-funded; and, 5) All TAs and TRs are automatically routed to the president's designee for approval if the travel is international as required by current FAU travel policies and procedures.	10/1/15