

FAU-OIG
SUMMARY OF FOLLOW-UP ON AUDIT RECOMMENDATIONS
SCHEDULED TO BE IMPLEMENTED DURING THE PERIOD OF 1/1 - 6/30/16

IMPLEMENTED (14)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Operational Audit of FAU	AG16-134	3	Purchasing Cards	Ed Schiff	Stacey Semmel	N/A	N/A
Operational Audit of FAU	AG16-134	4	Information Technology Security Controls – User Authentication	Jason Ball	Dr. Diane Alperin	N/A	N/A
Campus Recreation – Memberships	FAU15/16-2	1	Money Collections Control Weaknesses – Boca Raton Campus Recreation	Joanna Prociuk	Dr. Corey King	N/A	N/A
Campus Recreation – Memberships	FAU15/16-2	1.1	Money Collections Control Weaknesses – Davie Campus Recreation	Joanna Prociuk	Dr. Corey King	N/A	N/A
Campus Recreation – Memberships	FAU15/16-2	2	Membership/Guest Pass Application Documentation & Processing Deficiencies – Boca Raton Campus Recreation	Joanna Prociuk	Dr. Corey King	N/A	N/A
Campus Recreation – Memberships	FAU15/16-2	2.1	Membership/Guest Pass Application Documentation & Processing Deficiencies – Davie Campus Recreation	Joanna Prociuk	Dr. Corey King	N/A	N/A
Campus Recreation – Memberships	FAU15/16-2	3	Lack of Written Security Procedures – Boca Raton Campus Recreation	Joanna Prociuk	Dr. Corey King	N/A	N/A
Campus Recreation – Memberships	FAU15/16-2	3.1	Lack of Written Security Procedures – Davie Campus Recreation	Joanna Prociuk	Dr. Corey King	N/A	N/A
Campus Recreation – Memberships	FAU15/16-2	4	Incomplete Employee Key/Code/Combination Control Logs – Boca Raton Campus Recreation	Joanna Prociuk	Dr. Corey King	N/A	N/A
Lifelong Learning Society	FAU15/16-3	3	Incomplete Employee Key/Combination Control Logs – LLS Boca Raton	Dr. Herbert Shapiro	Dr. Diane Alperin	N/A	N/A
Lifelong Learning Society	FAU15/16-3	3.1	Incomplete Employee Key/Combination Control Logs – LLS Jupiter	Josette Valenza	Dr. Eliah Watlington	N/A	N/A
Lifelong Learning Society	FAU15/16-3	4	Potential Operational Risks to LLS Boca Raton Information System – LLS Boca Raton	Dr. Herbert Shapiro	Dr. Diane Alperin	N/A	N/A
Lifelong Learning Society	FAU15/16-3	5	Inadequate Physical Security for Cash/Checks/Credit Card Information – LLS Boca Raton	Dr. Herbert Shapiro	Dr. Diane Alperin	N/A	N/A
Lifelong Learning Society	FAU15/16-3	6	Lack of Periodic Review of Departmental Security Procedures – LLS Boca Raton	Dr. Herbert Shapiro	Dr. Diane Alperin	N/A	N/A