

FAU-OIG
SUMMARY OF FOLLOW-UP ON AUDIT RECOMMENDATIONS
SCHEDULED TO BE IMPLEMENTED DURING THE PERIOD OF 1/1 - 3/31/15

IMPLEMENTED (2)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Housing and Residential Life	FAU12/13-4	3	Incomplete Documentation of Health and Safety Inspections	Tracy Cunningham	Dr. Corey King	N/A	N/A
Telecommunications	FAU14/15-3	1	Need to Improve Adherence to Completion of Telephone and Wireless Usage Verification Forms	Denise Payeur	Jason Ball	N/A	N/A

PARTIALLY IMPLEMENTED (1)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Housing and Residential Life	FAU12/13-4	4	Lack of Training Session Logs	Tracy Cunningham	Dr. Corey King	Management needs to ensure that a manual/electronic attendance sign-in record is maintained for all safety and security related training sessions conducted prior to the start of each semester.	8/31/15

NOT IMPLEMENTED (1)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Human Resources – New Hires	FAU14/15-1	1	New Hire Documentation Deficiencies	Dave Tomanio	Dorothy Russell	The OIG needs to perform compliance testing of new employee records for improvement relating to the timeliness and/or proper completion of certain requisite documents and procedural actions. Human Resources is currently involved in the training of the university community for the rollout of the Workday HCM (Human Capital Management) product in July 2015.	8/31/15

FAU-OIG
SUMMARY OF FOLLOW-UP ON AUDIT RECOMMENDATIONS
SCHEDULED TO BE IMPLEMENTED DURING THE PERIOD OF 1/1 - 3/31/15

INDETERMINATE – PENDING FOLLOW-UP OF THE OPERATIONAL AUDIT (2)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Operational Audit of FAU	AG14-045	1	Textbook Affordability – Untimely posting of textbooks on the University website in accordance with State law.	Stacy Volnick / Rochelle Prince	Dr. John Kelly / Dr. Diane Alperin	*	*
Operational Audit of FAU	AG14-045	3	Subcontractor Selection	Peter Thomson	Azita Dashtaki Dotiwala	*	*

* The Florida Auditor General's Office (OAG) is in the process of performing follow-up on the recommendations as part of their operational audit of FAU for fiscal year ending 6/30/15. As such, the implementation status of these audit recommendation are indeterminate, pending follow-up by the OAG.