

FAU-OIG
SUMMARY OF FOLLOW-UP ON AUDIT RECOMMENDATIONS
SCHEDULED TO BE IMPLEMENTED DURING THE PERIOD OF 1/1 - 6/30/13

IMPLEMENTED (4)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Operational Audit of FAU	AG12-095	6	Decentralized Collections - Athletics	Patrick Chun	Dennis Crudele	N/A	N/A
Operational Audit of FAU	AG12-095	7	Complimentary Athletic Event Tickets	Patrick Chun	Dennis Crudele	N/A	N/A
Athletics Ticket Revenue	FAU12/13-2	4	Improper Performance of Surprise Cash Counts of Change Fund	Patrick Chun	Dennis Crudele	N/A	N/A
Student Union	FAU12/13-1	2	Incomplete Documentation for Sponsored Events held at Student Union Facilities	Dr. Larry Faerman	Dr. Charles Brown	N/A	N/A

PARTIALLY IMPLEMENTED (3)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Student Government Association	FAU08/09-4	3	Untimely Submission of Travel Authorization Requests	Terry Mena / Peter Amirato	Dr. Charles Brown	Management needs to ensure that all Travel Authorization (TA) requests are prepared and approved electronically on the Banner travel system no later than the day prior to the start of the travel as required.	10/1/13
Student Union	FAU12/13-1	3	Incomplete Documentation for Breezeway Rentals	Dr. Larry Faerman	Dr. Charles Brown	Management needs to ensure that all Breezeway event sponsors pay the correct rental fees in accordance with the Breezeway use application and agreement; and, state sales tax collected is deposited to the Banner Finance Suspense – Sales Tax Collections (SUSP04) fund as required by university policy.	10/1/13

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PARTIALLY IMPLEMENTED (continued)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Student Union	FAU12/13-1	6	Student Union Employee Timekeeping Deficiencies	Dr. Larry Faerman	Dr. Charles Brown	Management needs to ensure that all employee time cards/records are consistently signed-off by the employee and his/her supervisor; and, non-exempt student temporary (OPS) employees do not exceed a 20-hour work week during the spring and fall semesters without proper authorization.	10/1/13

NO LONGER APPLICABLE (1)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Operational Audit of FAU	AG12-095	8	Student Government Expenses	Terry Mena/ Peter Amirato	Dr. Charles Brown	N/A *	N/A

* The abovementioned recommendation is considered no longer applicable based on the Florida Auditor General's (AG) testwork performed during their current Operational Audit of FAU for the fiscal year ended June 30, 2013.