I. **Purpose**

The policy is to help minimize the risk and maximize the success of sponsored research relationships with outside business entities. It is intended for use within the FAU Division of Research.

II. **Background**

In the past, certain sponsored research relationships with outside businesses have not been successful due to failures on the part of the outside business entity. Although no due diligence can guarantee the success or failure of a business entity, it can be a valuable tool in making responsible decisions, assessing risks, and taking reasonable precautions in such relationships.

III. **Policy**

Before submitting a request to Sponsored Programs for approval of a sponsored research relationship with an outside business entity, the attached Disclosure Form must be completed by the business entity. Sponsored Programs may make recommendations based on the results of the Disclosure Form to minimize risk to the University and maximize success of the research relationship.

As Sponsored Programs feels necessary, further questions may be addressed to the outside entity. It is a policy of the Division of Research to document the due diligence performed, so these records may be subject to a public record request. This should be conveyed to outside entities before they respond. Only information that is not

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**SUBJECT:** Outside Business Entities Disclosure For Sponsored Research Relationships

**Effective Date:** 1-9-13

**Policy Number:** 10.2.7

**Supercedes:** 1-3-12

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**Responsible Authorities:**
- Vice President for Research
- Director, Sponsored Programs
confidential or trade secret should be conveyed under this policy. For confidential or trade secret information which is conveyed as part of the research project, other arrangements, such as a non-disclosure agreement or a non-disclosure clause in another contract, may be utilized.

POLICY APPROVAL

Initiating Authority

[Signature]

Date 1/9/13

Name: Barry Rosson, Vice President for Research