According to the Code of Federal Regulations (*Title 9, Subchapter A, Parts 1-3*), certain animal protocols do not require regulatory oversight. Research and/or teaching field studies are exempt provided they are only observational in nature and do not involve capturing/trapping, physical/chemical restraint, disturbance of habitat and/or invasive procedures. **Exempt status, however, must be determined by the IACUC.**

**Filing for Exempt Status:**

1. Investigators seeking an exempt status for their protocol must submit the Application for Exempt Status, along with the verification of General Animal Care & Use Training and Occupational Health Program enrollment.

2. The IACUC Chair or an IACUC Member will evaluate the application and decide whether or not your protocol is exempt.

   -If your protocol is assigned “exempt” status, no further action is required. If you alter the methods or procedures in any way, however, you must submit the change to the IACUC for re-evaluation of the exempt status.

   -If your protocol *does not* qualify for exempt status, you must complete and submit the IACUC Animal Use Protocol Form.

3. A signed copy of the Application for Exempt Status will be mailed to you upon approval. The IACUC office will maintain this record for a minimum of three years after the anticipated completion date.

**Note:** Sometimes a sponsor or funding agency will still require full IACUC approval even if the protocol qualifies for exemption. If this is the case, please complete and submit the IACUC Animal Use Protocol Form.