**Reporting Potential Rules Violations**

Florida Atlantic University (FAU) is committed to maintaining the integrity of its intercollegiate athletics program, sports teams, coaches, and student-athletes. All Athletics Staff, Coaches, Student-Athletes and individuals engaged in activities promoting the athletics interests of the institution are expected to adhere to the Constitution and Bylaws of the National Collegiate Athletic Association (NCAA) and all Conference USA regulations, rules and policies.

Control of FAU’s athletics program in compliance with the rules and regulations of the NCAA is maintained through a combination of the following:

- Responsible actions by Athletics Department staff members and other administrators, faculty members, Student-Athletes and individuals engaged in activities promoting the athletics interests of the institution;
- Compliance education and awareness training for coaches, staff members, student-athletes, and other individuals engaged in activities promoting the athletics interests of the institution;
- Development and monitoring of effective operating systems within the Athletics Department, consistent with University policies and procedures, that promote compliance with NCAA and Conference USA bylaws, regulations and rules.

The NCAA Constitution and Bylaws represent a complex set of regulations. When faced with questions or concerns regarding NCAA rules or regulations, FAU Athletics Staff, Coaches, Student-Athletes and other individuals engaged in activities promoting the athletics interests of the institution shall contact the Athletics Compliance Office at 561-297-0756.

Each individual engaged in activities promoting FAU’s athletics interests is expected to know and understand all NCAA and Conference USA bylaws, regulations, rules and policies applicable to their area of responsibility and to report any violation in accordance with the following procedures.

**Reporting Potential or Alleged Violations**

Any potential violation of NCAA or Conference USA bylaws, regulations, rules or policies must be reported in order to ensure accountability. Immediate notification of potential or alleged violations also provides the Athletics Department with an opportunity to take corrective action when necessary and to address issues through training and educational programming.

- Coaches, staff members and other individuals engaged in activities promoting the athletics interests of the institution must immediately report any potential or alleged violation of NCAA or Conference USA bylaws, regulations or rules to the Athletics Director, Senior Associate Athletics Director, or Associate Athletics Director for Compliance. Reports may be made verbally or in writing.

- It is insufficient for coaches, staff members or other individuals engaged in activities promoting the athletics interests of the institution to report a potential or alleged violation to their supervisor or to the assistant or head coach. All reports must be made to the Athletics Director, Senior Associate Athletics Director, or Associate Athletics Director for Compliance.
• Student-athletes are encouraged to immediately report any potential or alleged violation of NCAA, Conference USA bylaws or university regulations to the sport supervisor, or the Athletics Compliance Office.

Procedures Related to an Investigation

• After notification, the Athletics Department will conduct a preliminary review in order to ascertain the basic facts and make an initial assessment. The Athletics Department will confer with the Office of General Counsel, the Office of University Compliance, or the Faculty Athletics Representative, as appropriate.

• If, after a preliminary review and evaluation of the facts, it is determined that a violation may have occurred, the Athletics Department will initiate a full investigation to the extent necessary to comply with all NCAA and Conference USA reporting obligations and to ensure appropriate corrective action is taken.

• Coaches, staff members and other individuals engaged in activities promoting the athletics interests of the institution are required to assist and fully cooperate with any investigation by providing information and relevant documents as requested by the Athletics Department.

• If a violation occurred, the Athletics Department shall prepare and file a self-report, which will include the following information:
  o The date and location of the violation;
  o The identities of the individuals involved in the violation;
  o The means or manner by which FAU became aware of the violation;
  o An explanation of the circumstances surrounding the violation including any mitigating factors;
  o The cause(s) of the violation;
  o A list of corrective, punitive or disciplinary actions taken by FAU in response to the violation.

Alleged Violations Involving Other NCAA Institutions

If an individual has knowledge of an alleged or potential violation involving another NCAA member institution, he or she shall report it to the Athletics Compliance Department. After evaluation, FAU may contact the compliance office at the accused member institution or the appropriate athletic conference to request that the allegation be investigated. In some case, FAU may contact the NCAA enforcement staff directly.