



Item: AC:A-1

## AUDIT AND COMPLIANCE COMMITTEE

Tuesday, May 15, 2018

**SUBJECT: REQUEST FOR APPROVAL OF THE 2018-19 WORK PLAN FOR THE OFFICE OF INSPECTOR GENERAL**

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### PROPOSED COMMITTEE ACTION

Review and recommend approval of the 2018-19 Office of Inspector General (OIG) Work Plan.

### BACKGROUND INFORMATION

In accordance with our Internal Audit Charter and standards promulgated by the Institute of Internal Auditors, the Office of Inspector General develops its annual work plan based on a risk assessment of basic programs, functions, and activities of the University. Input is sought from senior management, the BOT Audit & Compliance Committee, and the internal audit staff through a risk assessment survey. A proposed work plan is prepared by applying auditor judgment, with an emphasis on avoiding duplication of audit services, and providing a number of diverse, but related activities - audit, consulting, and investigative services - intended to promote accountability, integrity, and efficiency.

With respect to the proposed work plan, our staff remains independent and objective relative to the scheduled projects, and our current staffing level is considered adequate to complete the plan.

### IMPLEMENTATION PLAN/DATE

July 1, 2018

### FISCAL IMPLICATIONS

Not applicable.

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**Supporting Documentation:**

2018-19 OIG Proposed Work Plan

**Presented by:** Mr. Morley Barnett, Inspector General

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