

Audit and Compliance Committee
Tuesday, February 20, 2018

**SUBJECT: REVIEW OF SUMMARY OF FOLLOW-UP ON AUDIT RECOMMENDATIONS
SCHEDULED TO BE IMPLEMENTED DURING THE PERIOD OF JULY 1
THROUGH SEPTEMBER 30, 2017.**

PROPOSED COMMITTEE ACTION

Information Only.

BACKGROUND INFORMATION

The Office of Inspector General performs follow-up procedures for all outstanding audit recommendations on a regular basis. For the three months ended September 30, 2017, we reviewed two audit recommendations resulting in the following conclusions:

- One recommendation was found to be fully implemented; and,
- One recommendation was partially implemented.

In keeping with our standard operating practice, we will continue to follow up on any open recommendation at the appropriate time.

IMPLEMENTATION PLAN/DATE

Not Applicable

FISCAL IMPLICATIONS

Not Applicable

Supporting Documentation: OIG Schedule of Follow-Up on Audit Recommendations

Presented by: Mr. Morley Barnett, Inspector General

Phone: 561-297-3682

FAU-OIG
SUMMARY OF FOLLOW-UP ON AUDIT RECOMMENDATIONS
SCHEDULED TO BE IMPLEMENTED DURING THE PERIOD OF 7/1 - 9/30/17

IMPLEMENTED (1)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
Central Cashier's Office	FAU16/17-2	2	Incomplete Employee Key, Access Card & Combination Assignment Logs	Jessica Cohen	Stacey Semmel	N/A	N/A

PARTIALLY IMPLEMENTED (1)

AUDIT TITLE	AUDIT REPORT #	AUDIT RECOMMENDATION #	COMMENT TITLE	AUDITEE	RESPONSIBLE MANAGER	PENDING ISSUES	NEW EXPECTED IMPLEMENTATION DATE
FAU Pharmacy	FAU16/17-3	2	Money Control Deficiencies	Dr. Corey King	Dr. John Kelly	Since the Division of Financial Affairs was in the process of developing a report(s) to assist Student Health Services (SHS) with the reconciliation of Bursar payments & charges to Workday, management had acknowledged that the recommendation was only partially implemented at the time of follow-up.	11/30/17